



## PATENT APPLICATION

Sheet 1 of 3

FORM PTO-1449

ATTY. DOCKET NO.

10004619-1

APPLICATION NO.

09/932,196

CONFIRMATION NO.

5243

STATEMENT OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

APPLICANT

Sean Xiao-An Zhang et al

FILING DATE

17 August 2001

GROUP

1755

(Use several sheets if necessary)

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A			
	1B			
	1C			
	1D			
	1E			
	1F			
	1G			
	1H			
	1I			
	1J			
	1K			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
KW	1L	Wo02088837	7 Nov 2002	Hewlett-Packard Co.	
	1M				
	1N				
	1O				
	1P				

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

KW	1Q	KAWAI S H et al.: "A dual-mode optical-electrical molecular switching device" Journal of the Chemical Society, Chemical Communications, Chemical Society, Letchworth GB no. 8 1994, pages 1011-1013 XP002165573
KW	1R	SUZUKI T et al: "A new type of Tricolor electrochromic system" Chemical Communications, Royal Society of Chemistry, GB 1998 pages 2193-2194 XP002246486
KW	1S	NISHIDA J ET AL: "A Redox Switch based on" Angew. Chem. Int. Ed: Angewandte Chemie-international vol. 40, no. 17, 3 Sept. 2001 pages 3251-3254 XP00224684

EXAMINER

DATE CONSIDERED



FORM PTO-1449	ATTY. DOCKET NO. <b>10004619-1</b>	APPLICATION NO. <b>09/932,196</b>	CONFIRMATION NO.
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	APPLICANT <b>Sean Xiao-An Zhang et al</b>		
	FILING DATE <b>17 August 2001</b>	GROUP <b>1755</b>	
	(Use several sheets if necessary)		

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	2A			
	2B			
	2C			
	2D			
	2E			
	2F			
	2G			
	2H			
	2I			
	2J			
	2K			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	2L				
	2M				
	2N				
	2O				
	2P				

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

<i>KMV</i>	2Q	HUNIG S et al: "Violence/Cyanine Hybrids as Electrochromic Systems, Part 3: Heterocyclic Onium End Groups" Tetrahedron, Elsevier Science Publishers Amsterdam, NL vol. 56, no. 26, June 2000 pages 4203-4211, XP004201672
<i>KMV</i>	2R	ZHENG H B et al: "Electrochemical and electrochromic properties of poly (ether naphthalimide)s and related model compounds" Plymer, Elsevier Science Publishers B.V, GB vol.42, no. 8, April 2001 pages 3745-3750 XP004313917
<i>KMV</i>	2S	SUZUKI T & A: "Preparation, Structure and Redox Reactions of" Angewante Chemie (International Edition) 1998, Wiley-VCH, Germany vol.39, no. 01, pages 1804-1806 XP00246485

EXAMINER

DATE CONSIDERED

*may 05, 2004*



## PATENT APPLICATION

Sheet 3 of 3

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

10004619-1

APPLICATION NO.

09/932,178

CONFIRMATION NO.

APPLICANT

Sean Xiao-An Zhang et al

FILING DATE

17 August 2001

GROUP

1755

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	3A			
	3B			
	3C			
	3D			
	3E			
	3F			
	3G			
	3H			
	3I			
	3J			
	3K			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	3L				
	3M				
	3N				
	3O				
	3P				

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

KMW	3Q	ZELIKOVICH et al: "Molecular Redox Switches based on Chemical Triggering of Iron..." Nature, Macmillan Journals LTD. London, GB, vol.374, 27 April 1995 pages 790-792 XP002246597
	3R	
	3S	
EXAMINER	MOW #	
	DATE CONSIDERED May 25, 2004	